

## SECURITIES REGULATION

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### MATERIALS

The following materials will be used in this course:

Securities Regulation, Coffee and Sale (11th ed., 2009), The Foundation Press.

Selected Statutes, Rules and Forms under the Federal Securities Laws, (2009 Edition), The Foundation Press.

In Coffee and Sale, the assigned material is Chapters 1, 4, 2, 3, 5-9, 13, 11, 10, 19. The material will be covered in the order presented in the casebook with the exception of Chapter 4, where it will be covered in a different order.

### GRADING

There will be one three-hour examination in this course, which will comprise the basis of the course grade. The only material which can be brought into the exam will be the volume of Selected Statutes, Rules and Forms under the Federal Securities Laws which may not contain any writing. The examination will be graded using traditional law school standards.

In addition to the examination, class participation will be counted on a qualitative basis as an addition to grades. For example, if participation warrants an addition, the grade may be raised to the next highest level, e.g., C+ to a B or a high B to a B+.

### ACADEMIC INTEGRITY

Work done for this course must adhere to the University Academic Integrity Policy which can be reviewed in the Student Handbook or by visiting <http://www.studentaffairs.depaul.edu/homehandbook.html>